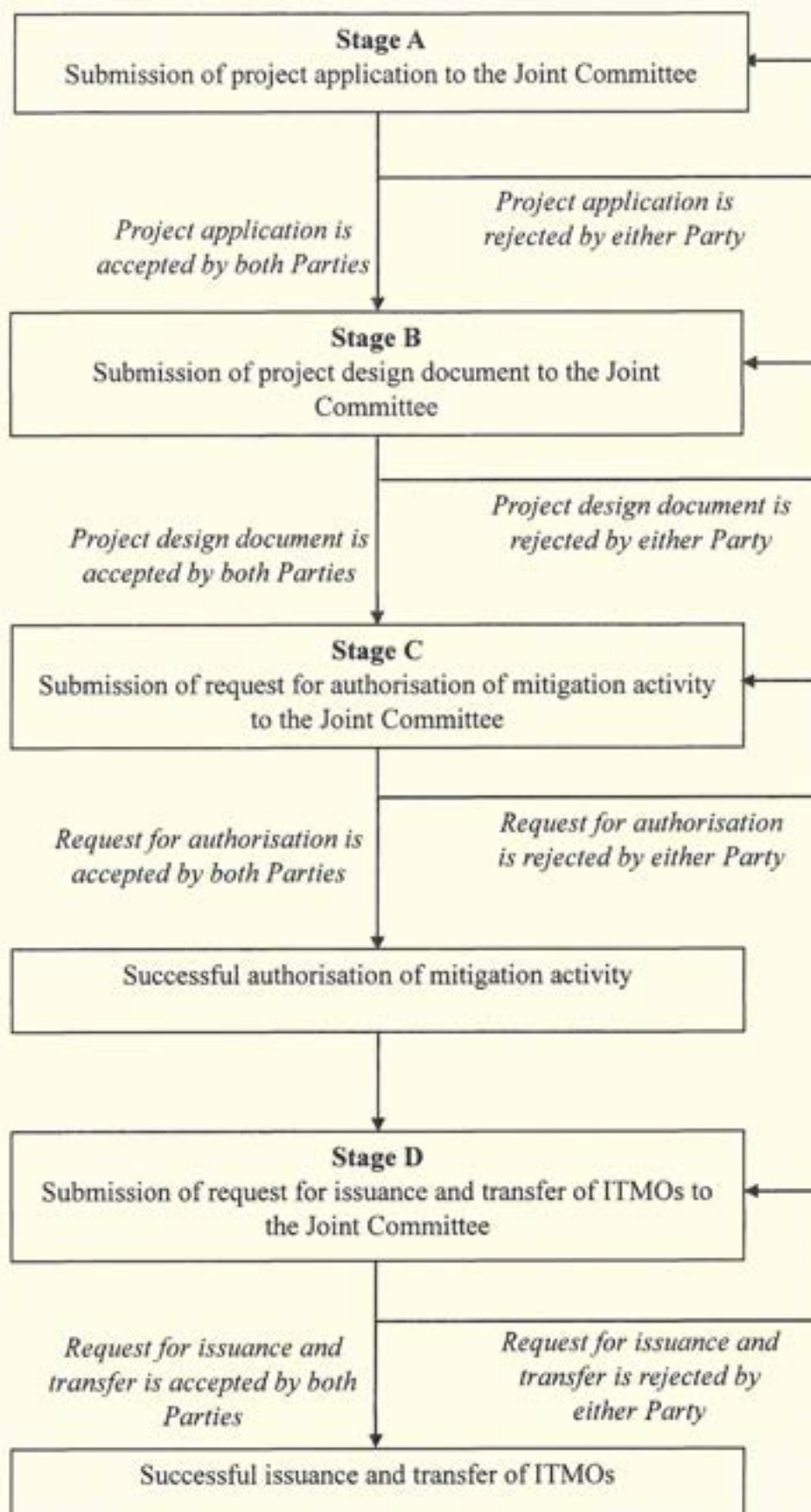


**ANNEX B: PROCESSES FOR THE AUTHORISATION OF MITIGATION  
ACTIVITIES, AND THE ISSUANCE AND TRANSFER OF ITMOS**

1. This Annex sets out the processes relating to:
  - (a) the authorisation of mitigation activities and Mongolia's authorisation of mitigation outcomes generated by the authorised mitigation activities, pursuant to Article 4 (Authorisation of Mitigation Activities and Authorisation for Mitigation Outcomes); and
  - (b) the issuance of mitigation outcomes from authorised mitigation activities and the transfer of the ITMOs, pursuant to Article 8 (Issuance and Transfer of ITMOs).
2. The flow-chart in this Annex outlines the relevant processes. The table below sets out the detailed actions to be taken by the project applicant, the Joint Committee, Mongolia and Singapore respectively, indicative timelines for such actions, and the required documents to be submitted.
3. The Parties shall publish the relevant forms and templates in accordance with Article 12 (Transparency).
4. The Parties have designated the following contact points for all communication concerning the processes under this Annex:
  - (a) For Mongolia:  
Ministry of Environment and Climate Change
  - (b) For Singapore:  
National Environment Agency
  - (c) For the Joint Committee:  
  
Mongolia – Ministry of Environment and Climate Change, Ministry of  
Economy and Development  
Singapore – National Environment Agency

**Annex B-I: Flow-chart**



**Annex B-II: Table**

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
<b>Stage A: Submission and processing of project application</b>				
A1	<p>The project applicant shall submit the following documents (collectively referred to as the “<b>Project Application</b>”) to the Joint Committee:</p> <ul style="list-style-type: none"> <li>a. a completed Mongolia-Singapore Mitigation Activity Note of Intent; and</li> <li>b. any other supporting documents as required by either Party and published in accordance with Article 12 (Transparency).</li> </ul>			
A2		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> <li>a. issue the project applicant with a unique registration number for the Project Application; and</li> <li>b. forward the Project Application to the Parties.</li> </ul> <p><i>(Within three working days from step A1)</i></p>		



Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
A3			<p>Mongolia shall assess if the Project Application meets the requirements under:</p> <ul style="list-style-type: none"> <li>a. Annex A of this Agreement; and</li> <li>b. the applicable domestic laws, regulations and administrative framework of Mongolia.</li> </ul> <p>If Mongolia assesses that the Project Application meets the said requirements, it shall issue a Letter of Intent and forward the same to the Joint Committee.</p> <p>If Mongolia assesses that the Project Application does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step A2)</i></p>	<p>Singapore shall assess if the Project Application meets the requirements under:</p> <ul style="list-style-type: none"> <li>a. Annex A of this Agreement;</li> <li>b. the criteria for project applicants as published by Singapore; and</li> <li>c. the applicable domestic laws, regulations and administrative framework of Singapore.</li> </ul> <p>If Singapore assesses that the Project Application meets the said requirements, it shall issue a 1<sup>st</sup> Letter of Support and forward the same to the Joint Committee.</p> <p>If Singapore assesses that the Project Application does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step A2)</i></p>

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
A4		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> <li>a. upon receipt of the Letter of Intent and the 1<sup>st</sup> Letter of Support, forward the same to the project applicant; or</li> <li>b. upon being notified of a negative assessment by either Party, inform the project applicant of the negative assessment and any remedial steps to be taken in order to re-submit the Project Application in line with Step A1.</li> </ul> <p><i>(Within three working days from step A4)</i></p>		

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
<b>Stage B: Submission and processing of project design document</b>				
B1	<p>Upon receipt of the Letter of Intent and the 1<sup>st</sup> Letter of Support from the Joint Committee, the project applicant shall submit the following documents (collectively referred to as the <b>"Project Design Document"</b>) to the Joint Committee:</p> <ul style="list-style-type: none"> <li>a. a completed Mongolia-Singapore Mitigation Action Assessment Protocol; and</li> <li>b. any other supporting documents as required by either Party and published in accordance with Article 12 (Transparency).</li> </ul>			
B2		<p>Upon receipt of the Project Design Document, the Joint Committee shall forward the Project Design Document to the Parties.</p> <p><i>(Within three working days from step B1)</i></p>		

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
B3			<p>Mongolia shall assess if the Project Design Document meets the requirements under:</p> <ol style="list-style-type: none"> <li>the Letter of Intent; and</li> <li>the applicable domestic laws, regulations and administrative framework of Mongolia.</li> </ol> <p>If Mongolia assesses that the Project Design Document meets the said requirements, it shall issue a Letter of Recommendation and forward the same to the Joint Committee.</p> <p>If Mongolia assesses that the Project Design Document does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step B2)</i></p>	<p>Singapore shall assess if the Project Design Document meets the requirements under:</p> <ol style="list-style-type: none"> <li>the 1<sup>st</sup> Letter of Support; and</li> <li>the applicable domestic laws, regulations and administrative framework of Singapore.</li> </ol> <p>If Singapore assesses that the Project Design Document meets the said requirements, it shall issue a 2<sup>nd</sup> Letter of Support and forward the same to the Joint Committee.</p> <p>If Singapore assesses that the Project Design Document does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step B2)</i></p>



Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
B4		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> <li>a. upon receipt of the Letter of Recommendation and the 2<sup>nd</sup> Letter of Support, forward the same to the project applicant; or</li> <li>b. upon being notified of a negative assessment by either Party, inform the project applicant of the negative assessment and any remedial steps to be taken in order to re-submit the Project Design Document in line with Step B1.</li> </ul> <p><i>(Within three working days from step B3)</i></p>		



Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
<b>Stage C: Submission and processing of request for authorisation of mitigation activity</b>				
C1	<p>Upon receipt of the Letter of Recommendation and the 2<sup>nd</sup> Letter of Support from the Joint Committee, the project applicant shall submit the following documents (collectively referred to as the <b>"Request for Authorisation"</b>) to the Joint Committee:</p> <ul style="list-style-type: none"> <li>a. a validation report in accordance with requirements of the offset programme specified in the Project Application and the Project Design Document;</li> <li>b. a completed Mongolia-Singapore Authorisation Application Form; and</li> <li>c. any other supporting documents as required by either Party and published in accordance with Article 12 (Transparency).</li> </ul>			

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
C2		<p>Upon receipt of the Request for Authorisation, the Joint Committee shall forward the Request for Authorisation to the Parties.</p> <p><i>(Within three working days from step C1)</i></p>		
C3			<p>Mongolia shall assess if the Request for Authorisation meets the requirements under:</p> <ul style="list-style-type: none"> <li>a. the Letter of Recommendation; and</li> <li>b. the applicable domestic laws, regulations and administrative framework of Mongolia.</li> </ul> <p>If Mongolia assesses that the Request for Authorisation meets the said requirements, it shall issue a Letter of Authorisation and forward the same to the Joint Committee.</p> <p>If Mongolia assesses that the Request for Authorisation does not meet the said requirements, it shall notify the Joint</p>	<p>Singapore shall assess if the Request for Authorisation meets the requirements under:</p> <ul style="list-style-type: none"> <li>a. the 2<sup>nd</sup> Letter of Support; and</li> <li>b. the applicable domestic laws, regulations and administrative framework of Singapore.</li> </ul> <p>If Singapore assesses that the Request for Authorisation meets the said requirements, it shall issue a Letter of Authorisation and forward the same to the Joint Committee.</p> <p>If Singapore assesses that the Request for Authorisation does not meet the said requirements, it shall notify the Joint</p>

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
			<p>Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step C2)</i></p>	<p>Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step C2)</i></p>
C4		<p>Upon receipt of the Letters of Authorisation from both Parties, the Joint Committee shall:</p> <ul style="list-style-type: none"> <li>a. forward the said Letters to the project applicant;</li> <li>b. enter the mitigation activity into the register of mitigation activities which have been authorised under this Agreement;</li> <li>c. submit the Initial Report(s) in accordance with Article 11 (Reporting); and</li> <li>d. upon submission of the Initial Report(s) in accordance with Article 11 (Reporting), the Joint Committee shall issue a Joint Statement of Authorisation to the</li> </ul>		

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
		<p>project participant and publish the same in line with Article 12 (Transparency).</p> <p>Upon being notified of a negative assessment by either Party, the Joint Committee shall inform the project applicant of the negative assessment and the remedial steps to be taken in order to re-submit the Request for Authorisation in line with C1.</p> <p><i>(Within three working days from step C3)</i></p>		
C5	Once the mitigation activity has been authorised by the Joint Committee, the project applicant may proceed with registration of the mitigation activity with the offset programme specified in the Letters of Authorisation and a copy of the Joint Statement of Authorisation.			



Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
C6	Once the mitigation activity has been successfully registered under the relevant offset programme, the project applicant shall inform the Joint Committee of this registration, and may proceed with the implementation of the mitigation activity.			
C7		<p>The Joint Committee shall inform Mongolia and Singapore of the successful registration of the mitigation activity under the relevant offset programme.</p> <p><i>(Within three working days from step C6)</i></p>		

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
<b>Stage D: ITMOs issuance and corresponding adjustment</b>				
D1	<p>The project applicant shall submit the following documents (collectively referred to as the “<b>ITMO Issuance Application</b>”) to the Joint Committee:</p> <ul style="list-style-type: none"> <li>a. proof of issuance of the mitigation outcomes from the relevant offset programme;</li> <li>b. the completed Mongolia-Singapore Issuance Application Form; and</li> <li>c. any other supporting documents as required by either Party and published in accordance with Article 12 (Transparency).</li> </ul>			

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
D2		<p>Upon receipt of the ITMO Issuance Application, the Joint Committee shall forward the ITMO Issuance Application to the Parties.</p> <p><i>(Within three working days from step D1)</i></p>		

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
D3				<p>Singapore shall assess if the ITMO Issuance Application meets the requirements under:</p> <ul style="list-style-type: none"> <li>a. Singapore's Letter of Authorisation; and</li> <li>b. the applicable domestic laws, regulations and administrative framework of Singapore.</li> </ul> <p>If Singapore assesses that the ITMO Issuance Application meets the said requirements, it shall inform the Joint Committee of its positive assessment.</p> <p>If Singapore assesses that the ITMO Issuance Application does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step D2)</i></p>



Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
D4		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> <li>a. upon being notified of a positive assessment by Singapore, inform Mongolia of the positive assessment; or</li> <li>b. upon being notified of a negative assessment by Singapore, inform the project applicant of the negative assessment and remedial steps to be taken in order to re-submit the ITMO Issuance Application in line with step D1.</li> </ul> <p><i>(Within three working days from step D3)</i></p>		

D5			<p>Upon being informed of Singapore's positive assessment by the Joint Committee, Mongolia shall assess if the ITMO Issuance Application meets the requirements under:</p> <ul style="list-style-type: none"> <li>a. Mongolia's Letter of Authorisation; and</li> <li>b. the applicable domestic laws, regulations and administrative framework of Mongolia.</li> </ul> <p>If Mongolia assesses that the ITMO Issuance Application meets the said requirements, it shall:</p> <ul style="list-style-type: none"> <li>a. list the mitigation outcomes in its registry established under Article 7 (Registry) of this Agreement (the "<b>HC Registry</b>");</li> <li>b. authorise, and apply corresponding adjustments for, the mitigation outcomes listed on the HC Registry, which shall constitute the first transfer; and</li> <li>c. issue a Letter of Positive Examination specifying</li> </ul>	
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Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
			<p>the issuance of ITMOs, and forward the same to the Joint Committee.</p> <p>If Mongolia assesses that the ITMO Issuance Application does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step D4)</i></p>	

Step no.	Action by project applicant	Action by Joint Committee	Action by Mongolia	Action by Singapore
D6		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> <li>a. upon receipt of the Letter of Positive Examination, forward the same to the project applicant; and</li> <li>b. upon being notified of a negative assessment by Mongolia, inform the project applicant of the negative assessment and any remedial steps to be taken in order to re-submit the ITMO Issuance Application in line with step D1.</li> </ul> <p><i>(Within three working days from step D5)</i></p>		